



Bid Form (2007)

EPA Allowance Auctions

For more information, see instructions and refer to 40 CFR 73.70 - 73.71

STEP 1

Enter bidder account information. If you do not have an Allowance Tracking System (ATS) account, submit the Allowance Account Information form prior to or with this

ATS Account #	
Authorized Account Representative (AAR) Name	
AAR Phone Number	AAR Fax Number

STEP 2

Enter the name you want to appear on EPA's official lists of winners and bidders.

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STEP 3

Identify the auction to which this bid applies (mark one only).

☐ Spot (first useable in 2007; may include 1995-2007 allowances) ☐ 7-Year Advance (first useable in 2014)

STEP 4

Enter the bid price being offered for each allowance.

Per allowance	\$ _____.
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STEP 5

Enter the number of allowances sought.

allowances	_____
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STEP 6

Multiply the Step 3 entry by the Step 4 entry and enter the result.

Total bid price	\$ _____.
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STEP 7

Mark the appropriate box to indicate the method of payment being used.

☐ Certified Check or Cashier's Check ☐ EPA Letter of Credit Form ☐ Wire Transfer

(Note: Enclose method of payment with Bid Form. If using the wire transfer method, complete Step 10 on page 2 of this form.)

STEP 8

Mark the box if appropriate.

☐ I am not willing to purchase fewer allowances than the number requested in Step 5.

STEP 9

Read the certification, enter your name as the authorized account representative or alternate authorized account representative, and sign and date.

I am authorized to make this submission on behalf of the owners and operators of the affected source or affected units or on behalf of the parties with an ownership interest with respect to the allowances held for which the submission is made. I certify under penalty of law that I have personally examined, and am familiar with, the statements and information submitted in this document and all its attachments. Based on my inquiry of those individuals with primary responsibility for obtaining the information, I certify that the statements and information are to the best of my knowledge and belief true, accurate, and complete. I am aware that there are significant penalties for submitting false statements and information or omitting required statements and information, including the possibility of fine or imprisonment.

Name	
Signature	Date

Submission Information

This form must be received by the USEPA Clean Air Markets Division no later than **4:00 pm Eastern Standard Time March 20, 2007**. Mail Bid Form to the following address (do not send via regular or certified mail - the USPS will not deliver to this address):

Overnight mail (FedEx, UPS, DHL, etc.):

U.S. Environmental Protection Agency
Acid Rain Program (6204J)
Attention: Kenon Smith, 2nd Floor
1310 L Street, NW
Washington, DC 20005

Or Fax Bid Form to Kenon Smith at 202-343-2381.

If you fax the Bid Form, call Kenon Smith at 202-343-9164 to confirm the fax was received.

(Important: For Bid to be accepted, payment must also be received by 4:00 pm, EST)



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Additional Information for Wire Transfers

STEP 10

Enter the ATS account number from Step 1. Then enter your 9 digit taxpayer identification, ABA routing, and bank account numbers to ensure any refund is expedited. (Important: The Bank's ABA # must accept Automated Clearing House (ACH) payments)
Also enter the Bank's name, city, state, and Zip Code.

ATS Account # (from page 1)			
----- Taxpayer ID	----- ABA Routing #	Bank Account #	
Bank Name	Bank City	Bank State	Bank ZIP

Enter the name of the representative from page 1 and sign and date.

Name	
Signature	Date

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Acid Rain Program

Instructions for Bid Form (2007)

EPA Allowance Auctions (40 CFR 73.70 - 73.71)

*EPA will hold auctions for spot and 7-year advance SO₂ emission allowances on March 26, 2007. In the spot auction, EPA will sell allowances first useable for compliance in 2007. In the 7-year advance auction, EPA will sell allowances first useable for compliance in 2014. Be sure to send this form to the EPA at the address below; **do not** send this form to the Chicago Board of Trade (CBOT). Any person may participate in the auctions by opening an account in the Allowance Tracking System (ATS) and submitting a Bid form. Affected utility sources automatically receive a facility account in the ATS.*

Type or complete the form in black ink. You may photocopy this form to make multiple bids, but must sign each form individually. Under 40 CFR 72.21 and 73.33, you must notify the persons you represent, either the owners or operators of the affected source and units or persons with an ownership interest with respect to the allowances held, of all Acid Rain Program submissions.

EPA will accept Acid Rain Program submissions from either the authorized account representative (AAR) or the alternate AAR. (For unit accounts the designated representative (DR) is the AAR and the alternate DR is the alternate AAR.)

If you need assistance or additional forms to make this submission complete, call Kenon Smith at (202) 343-9164.

STEP 1 If you do not have an Allowance Tracking System (ATS) account number you must submit an Allowance Account Information form prior to or with this Bid form.

STEP 2 Enter the name (e.g., firm name) you want to appear on all EPA auction results lists.

STEP 3 Each bid requires a separate Bid form.

STEP 7 You must send payment with the Bid form. The payment must be a certified or cashier's check, an EPA Letter of Credit form, or wire transfer of funds to cover the total amount of all your bids. For Bids to be accepted, payments must be received by 4:00pm EST on March 20, 2007.

- If you are using a certified or cashier's check as a method of payment, each bid requires a separate check, e.g., for three separate bids, submit three separate certified or cashier's checks. If you are using the other payment methods, payment for multiple bids may be added together.
- Make the certified or cashier's check payable to "U.S. EPA."
- To submit a letter of credit, complete the EPA Letter of Credit form and submit with this Bid form. EPA will accept only EPA Letter of Credit forms signed by banks that maintain an account with any Federal Reserve Bank or Branch and are on-line participants in the FEDWIRE funds transfer system.
- If you are using a wire transfer to cover the total amount of all your bids, check the appropriate box on the bid form, and attach a cover letter to the bid form stating your intentions to use a wire transfer. Please complete Step 10 on page 2 of the form to speed the refund process in case your bid is unsuccessful. The funds must be wired to EPA Account: TREAS NYC/(68011233)EPA, ABA #021030004 Transaction Type 10 no later than

4:00 p.m. Eastern Standard Time on the Tuesday before the date of the auction (March 20, 2007).

STEP 8 If fewer allowances remain in the Auction than you requested, EPA will partially fulfill your bid unless you indicate that you are not willing to purchase fewer.

STEP 9 If you do not yet have an ATS account, enter the name of the AAR or the alternate AAR identified on the Allowance Account Information form.

STEP 10 If you are using the wire transfer payment method, enter your 9 digit taxpayer identification number (i.e., Social Security number), the 9 digit ABA routing number, and your bank account number. EPA uses the Automated Clearing House (ACH) payment system to issue refunds, so make sure the ABA routing number is for ACH payments, not for wire transfers. Additionally, enter the name of the Bank, the city in which the Bank is located, and the zip code. Also, enter the name of the AAR or the alternate AAR identified in Step 9 and sign and date.

Submission Instructions

For the EPA Auctions, EPA must receive completed Bid forms no later than 4:00pm Eastern Standard Time on March 20, 2007. Mail the completed forms and form of payment to the following address. (Do not send via regular or certified mail - the United States Postal Service will not deliver to this address.)

Overnight mail (FedEx, UPS, DHL, etc.):

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Acid Rain Program (6204J)
Attention: Kenon Smith, 2nd Floor
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Paperwork Burden Estimate

The public reporting and recordkeeping burden for this collection of information is estimated to average 30 minutes per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including through the use of automated collection techniques to the Director, Collection Strategies Division, U.S. Environmental Protection Agency (2822T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. **Do not send the completed form to this address.**